



Billing Code: 4162-20 - P

## DEPARTMENT OF HEALTH AND HUMAN SERVICES

### Substance Abuse and Mental Health Services Administration

#### Agency Information Collection Activities: Proposed Collection; Comment Request

In compliance with Section 3506(c)(2)(A) of the Paperwork Reduction Act of 1995 concerning opportunity for public comment on proposed collections of information, the Substance Abuse and Mental Health Services Administration (SAMHSA) will publish periodic summaries of proposed projects. To request more information on the proposed projects or to obtain a copy of the information collection plans, call the SAMHSA Reports Clearance Officer on (240) 276-1243.

Comments are invited on: (a) whether the proposed collections of information are necessary for the proper performance of the functions of the agency, including whether the information shall have practical utility; (b) the accuracy of the agency's estimate of the burden of the proposed collection of information; (c) ways to enhance the quality, utility, and clarity of the information to be collected; and (d) ways to minimize the burden of the collection of information on respondents, including through the use of automated collection techniques or other forms of information technology.

## **Proposed Project: Healthy Transitions Initiative Cross-Site Evaluation - NEW**

The Substance Abuse and Mental Health Services Administration (SAMHSA), Center of Mental Health Services is responsible for the cross-site evaluation of the Cooperative Agreements for State/Community Partnerships to Integrate Services and Supports for Youth and Young Adults 16-25 with Serious Emotional Disturbances (SED) or Serious Mental Illness (SMI), and Their Families (Healthy Transitions Initiative—HTI) that will collect data on program implementation and youth and young adult outcomes in the areas of education, employment, housing, mental health and co-occurring disorders, and involvement with the juvenile and criminal justice systems. This cross-site evaluation design includes a process and an outcome evaluation and data will be collected over a 3-year period from 7 grantee sites.

The cross-site evaluation is designed to address the following questions.

### ***Process Evaluation Questions***

1. How closely does implementation match the plan proposed in the grant?
2. What types of deviation from the plan occur?
3. What effect do the deviations have on the planned intervention and performance assessment?
4. What facilitates a successful transition between youth and adult systems?
5. Is there a change from a “youth-guided” model to a “youth and young adult consumer-driven” model?
6. What is the extent of interagency coordination and collaboration?
7. How are state and local-level systems changing in response to the HTI implementation? How does state and local-level policy change affect the implementation of the Initiative?

8. Who provides services (i.e., program staff, agency site)?
9. What services are being provided (i.e., modality, type, intensity, duration)?
10. Is there a viable cultural and linguistic competence plan?
11. What are the individual characteristics of the youth and young adults (i.e., who is being served)?
12. In what settings (i.e., system, community) are they being served?

### ***Outcome Evaluation Questions***

1. What is the effect of the HTI intervention on the participants?
2. What is the effect of the HTI intervention, compared to a sample of similar young adults not participating in the HTI intervention?
3. What program factors are associated with the observed outcomes?
4. What individual factors are associated with the observed outcomes?
5. How durable are the effects over 24 months?

### ***Process Evaluation***

The process evaluation is designed to assess the fidelity of grantees to implement their proposed program model, and consists of young person focus groups, young person surveys, youth mentor focus groups, transitional program personnel interviews and surveys, and local and state administrator interviews. Process evaluation data will be collected in two waves during FY 2012 and during FY 2014 and, with the exception of the state administrator interviews, participants are not expected to participate more than one time during the 2 waves of data collection.

### ***Outcome Evaluation***

The outcome evaluation is designed to assess outcomes of youth and young adults in regards to

education, employment, housing, mental health and co-occurring disorders, and involvement with the juvenile and criminal justice systems. The outcome evaluation will utilize both an enhanced and standard data collection and a longitudinal cohort design, and will include a comparative study to assess the effectiveness of HTI relative to a similar sample of young persons who did not receive HTI services. In the standard data collection protocol, outcome data will be collected for each HTI young adult participant, at a minimum of, at baseline at least every 6 months for up to 24 months for as long as the participant remains in HTI services. Enhanced outcome data will be collected on a subsample of young adults at 6 month intervals. The enhanced protocol will continue even after the young person from the subsample has left or has been discharged from HTI services, for up to 24 months. The baseline and follow up outcome instruments include the following key indicators: demographic information, service use, education, employment/vocational training, housing and living situation, clinical outcomes, behavioral and other health, trauma-related experiences, life skills, parenting skills and supports, involvement with juvenile or criminal justice systems, and social and peer relationships. While participants are enrolled in HTI services, these data collected by the HTI grantees as specified in the RFA.

The HTI Data Center (HTI DC) will be developed for data collection and management. The HTI DC will be a secure Website that allows uploading of data, real-time access to data by grantees, and production of automated reports for the sites. It is flexible for local use and simplifies the management, monitoring, and reporting of data.

The summary burden reflects the distinct number of respondents, total annual burden, and total hourly cost of the study.

|   | Number of<br>Distinct<br>Respondents | Average<br>Annual No.<br>Responses/<br>Respondent | Total Annual<br>No. of<br>Responses | Average 3-Year<br>Burden per<br>Response<br>(hours) | Total Annual<br>Burden<br>(hours) | Hourly<br>Wage Cost  | Total Hourly<br>Cost* |
|---|--------------------------------------|---|-------------------------------------|---|-----------------------------------|----------------------|-----------------------|
| <b>Summary Burden Table</b>               |                                      |   |                                     |   |                                   |                      |                       |
| <b>Young Persons</b>                      | 320                                  | 1.10  | 796                                 | 1.55  | 547                               | \$7.25 <sup>a</sup>  | \$3966                |
| <b>Youth Mentors</b>                      | 84                                   | 0.33  | 28                                  | 1.25  | 35                                | \$10.74 <sup>b</sup> | \$376                 |
| <b>Transitional Program<br/>Personnel</b> | 49                                   | 0.33  | 23                                  | 1.41  | 23                                | \$15.24 <sup>c</sup> | \$351                 |
| <b>Local Administrators</b>               | 21                                   | 0.67  | 14                                  | 1.50  | 21                                | \$22.69 <sup>d</sup> | \$476                 |
| <b>State Administrators</b>               | 7                                    | 0.67  | 9                                   | 0.54  | 3                                 | \$23.54 <sup>e</sup> | \$220                 |
| <b>Total Summary</b>                      | <b>481</b>                           | <b>3</b>  | <b>871</b>                          |   | <b>629</b>                        |                      | <b>\$5,389</b>        |

Send comments to Summer King, SAMHSA Reports Clearance Officer, Room 8-1099, One Choke Cherry Road, Rockville, MD 20857 **OR** e-mail a copy to **summer.king@samhsa.hhs.gov**. Written comments must be received before 60 days after the date of the publication in the Federal Register.

Summer King  
Statistician

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